

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Yoshio OZAWA et al. )  
Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
Filed: April 27, 2000 ) Examiner: Not Yet Assigned

For: SEMICONDUCTOR DEVICE AND  
METHOD OF MANUFACTURE THEREOF

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

Applicants would also like to point out to the Examiner the following pending application:

U.S. Patent Application Serial No. 09/106,208, may be related to the present application.

This Information Disclosure Statement is being filed with the above-referenced application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any

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of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Richard V. Burguljian  
Reg. No. 31,744

Dated: April 27, 2000

Enclosures  
RVB/FPD/sci